

Applicant or Patentee: Morris et al.

Attorney's Docket No. ARK007/96868B

Serial or Patent No:

Customer No. 24,951

Filed or Issued:

For: **VINEYARD APPARATUS, SYSTEM AND METHOD FOR VINEYARD  
MECHANIZATION**

**VERIFIED STATEMENT (DECLARATION)  
CLAIMING SMALL ENTITY STATUS  
[37 CFR SECTION 1.9 (f) and SECTION 1.27 (b)]  
INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled:

**VINEYARD APPARATUS, SYSTEM AND METHOD FOR VINEYARD  
MECHANIZATION**

described in:

- ☒ the specification filed herewith.  
☐ Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.  
☐ Patent No. \_\_\_\_\_ issued \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization  
☒ persons, concerns or organizations listed below\*

\* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. [37 CFR 1.27].

FULL NAME: University of Arkansas

ADDRESS: 2404 N. University Ave.  
Little Rock, AR 72207-3608

- ☐ INDIVIDUAL  
☐ SMALL BUSINESS CONCERN  
☒ NONPROFIT ORGANIZATION

FULL NAME: [ ] INDIVIDUAL  
ADDRESS: [ ] SMALL BUSINESS CONCERN  
[ ] NONPROFIT ORGANIZATION

FULL NAME: [ ] INDIVIDUAL  
ADDRESS: [ ] SMALL BUSINESS CONCERN  
[ ] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28(b)].

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR

Justin R. Morris

\_\_\_\_\_  
Signature of Inventor

\_\_\_\_\_  
Date

NAME OF INVENTOR

Tommy R. Oldridge

\_\_\_\_\_  
Signature of Inventor

\_\_\_\_\_  
Date

10014544-102301

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**VINEYARD APPARATUS, SYSTEM AND METHOD FOR VINEYARD MECHANIZATION**

the specification of which (check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56 (a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application to which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

\_\_\_\_\_  
(Number) (Country) (Day/month/year filed)

Priority Claimed  
☐ ☐  
Yes No

\_\_\_\_\_  
(Number) (Country) (Day/month/year filed)

☐ ☐  
Yes No

\_\_\_\_\_  
(Number) (Country) (Day/month/year filed)

☐ ☐  
Yes No

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

Provisional Application Number

Filing Date

60/035,216  
(Number)

01/07/1997  
(Day/Month/Year Filed)

60/049,285  
(Number)

06/10/1997  
(Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability, as defined in Title 37, Code of Federal Regulations, Section 1.56 (a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/950,301 10/14/1997 pending  
(Appl. Serial No.) (Filing date) (Status) (patented, pending, abandoned)

60/035,216 01/07/1997 abandoned  
(Appl. Serial No.) (Filing date) (Status) (patented, pending, abandoned)

60/049,285 06/10/1997 abandoned  
(Appl. Serial No.) (Filing date) (Status) (patented, pending, abandoned)

#### NON-PUBLISH

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As named inventor, or named inventors, I (We) hereby appoint the attorney(s) and/or agent(s) presently listed in our Customer Nos. 28,112,24951 (Arkansas) and 24,950 (Oklahoma City) all members duly authorized to practice law. Further, all foregoing attorneys are authorized to prosecute this application to register, to transact all business in the Patent and Trademark Office in connection therewith,

and to receive the Letters Patent Document, if issued.

SEND CORRESPONDENCE AND TELEPHONE CALLS TO:

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Full name of sole or first inventor: **Justin R. Morris**

Inventor's signature: \_\_\_\_\_ DATE \_\_\_\_\_

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Full name of second inventor: **Tommy R. Oldridge**

Inventors signature: \_\_\_\_\_ DATE \_\_\_\_\_

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Citizenship: UNITED STATES OF AMERICA  
Post Office Address: 1118 Concord Street, Lowell, Arkansas 72745

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I hereby certify that this paper and fee is being  
deposited with the United States Postal Service  
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" service  
under 37 C.F.R. 1.10 on the date indicated above  
and is addressed to the Assistant Commissioner  
for Patents; Washington, D.C. 20231  
John Brooks